

II Global Study The Survey <u>Instrument</u>

Target group: Internal Quality Assurance (IQA) of Tertiary Education Institutions

Objectives

- 1. Describe the Internal Quality Assurance (IQA) landscape regionally and globally
- 2. Diagnose the "health" of IQA regarding its efficiency, relevance, and transformative power
 - **1.** Harvey (2004) defines efficiency as the extent to which an activity achieves its goal whilst minimizing resource usage.
 - 2. OECD (2008) defines relevance as the extent to which the aid activity is suited to the priorities and policies of the target group, recipient and donor.
 - 3. Transformation involves a 'qualitative change' from one state to another (Harvey & Green, Defining Quality , 1993). It entails changes within the External Quality Assurance Provider (EQAP) so that it is better equipped to transform the Tertiary Education (TE) system under its purview causing transformative learning, research, services to society (Harvey & Knight, 1996).
- 3. Identify regional and global trends and challenges in IQA.

This survey instrument looks at major aspects of quality assurance in the following areas:

- 1. Section 1: Internal quality assurance system of TEIs: the functions, operations, and impact on teaching & learning, research, and service to society.
- 2. Section 2: Relevance of IQA measures
- 3. Section 3: Resilience and sustainability of the IQA: impact of external factors.

Deadline to submit your reviews: November 17, 2023.



II Global Study The Survey Instrument Target group: Internal Quality Assurance (IQA) of Tertiary Education Institutions

Section 1: IQA of TEIs: functions, operations, and impact

The Tertiary Education Institution (TEI)

* 1. The title of the TEI:

Name	
Acronym	
Webpage	

\ast 2. Name and contact information of the respondent:

Full name	
Title (Mr., Mrs., Dr., Prof., etc.)	
Position	
E-mail	
Phone number	

* 3. Region (select one of the INQAAHE 7 regions):

* 4. Country of legal incorporation (e.g., country of legal registration, license issuance):

¢

* 5. Year of establishment (in case your organization changed its denomination or legal status, please, specify the change and impact on the mission):

* 6. Which of the following best describes the legal status of your institution?

Government

Public (independent from government yet subsidized by public funds/taxes)

Private with public functions

Private for profit

Other (please specify)

* 7. Current membership with international, regional and country networks: tertiary education institution networks and/or QA networks (select all that apply):

AAU (Association of African Universities)
AfriQAN (African Quality Assurance Network)
APQN (Asia Pacific Quality Network)
AQAAIW/IQA (Association of Quality Assurance Agencies of the Islamic World)
AUA (Arab University Association)
AUN (ASEAN University Network)
CHEA (Council for Higher Education Accreditation)
EAQAN (East African Higher Education Quality Assurance Network)
EUA (European University Association)
IAU (International Association of Universities)
INQAAHE (International Network for Quality Assurance Agencies)
PNQAHE (Pakistan Network for Quality Assurance in Higher Education)
RAFANAQ (Réseau Africain Francophone des Agences Nationales d'Assurance Qualité)
REALCUP (Red de Asociaciones Latinoamericana y Caribeñas de Universidades Privadas)
RIACES (Ibero-American Network for Quality Assurance in Higher Education)
SAQAN (Southern African Quality Assurance Network)
SIACES (Sistema Iberoamericano de Aseguramiento de la Calidad de la Educación Superior)
UDUAL (Association of Universities of Latin America and the Caribbean)
None
Other (please specify)

* 8. Which qualifications are offered at your institution? (select all that apply):

UNESCO ISCED level 4: post-secondary non tertiary (general or vocational)

UNESCO ISCED level 5: short cycle tertiary (general or vocational)

UNESCO ISCED level 6: Bachelor (3, 4 years)

- UNESCO ISCED level 7: Master (or Bachelor of 5 years)
- UNESCO ISCED level 8: Doctoral
- Short learning programs/micro-credentials
- Other (please specify)

* 9. How many students does your institution have in total?:

- 🔵 Less than 500
- O Between 501-1000
- O Between 1001 5000
- O Between 5001-10000
- O Between 10001 30000
- More than 30000
 More than 30000

* 10. How many Full Time Equivalent (FTE) faculty/academic staff does your institution have?:

- C Less than 100
- Between 101-500
- O Between 501 1000
- O Between 1001 3000
- O More than 3000

* 11. Does your institution engage in exporting education programs outside the country of your legal incorporation (i.e., engage in cross-border education)?:

- \bigcirc Yes, we offer our programs through local institutions in a foreign setting
- \bigcirc Yes, we deliver programs jointly with a local institution in a foreign setting
- Yes, we have a branch campus in a foreign setting

Not applicable

Other (please specify)

* 12. What is the number of countries in which your institution operates?:

- 1 country only
- 2 to 5 countries
- 6 to 10 countries
- More than 10 countries

* 13. If your institution delivers cross border education, what percentage of your institution's programs is delivered outside the country of your legal incorporation (over the last 5 years)?:

- \bigcirc Few (less than 25%)
- O Some (26%-50%)
- \bigcirc Significant (51% and more)

14. To what extent are your institution's programs aligned to the National Qualifications Framework? (If not applicable, go to next question):

	Not covered	Partially	Significantly	Fully	Not applicable
Bachelor programs	\bigcirc	\bigcirc	\bigcirc	\bigcirc	\bigcirc
Master programs	\bigcirc	\bigcirc	\bigcirc	\bigcirc	\bigcirc
Doctoral programs	\bigcirc	\bigcirc	\bigcirc	\bigcirc	\bigcirc
Short learning programs/micro- credentials	\bigcirc	\bigcirc	\bigcirc	\bigcirc	\bigcirc



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Section 1: IQA of TEIs: functions, operations, and impact

Internal (Institutional) Quality Assurance System

* 15. When did your institution officially adopt a policy on Internal Quality Assurance (IQA), if any?:

- \bigcirc Less than a year
- \bigcirc Less than 5 years ago
- \bigcirc Between 5 and 10 years
- More than 10 years ago
- Not applicable

* 16. When did your institution adopt the Internal Quality Assurance (IQA) system:

- \bigcirc Less than a year
- 🔵 Less than 5 years ago
- O Between 5 and 10 years
- O More than 10 years ago
- 🔿 Not applicable/No IQA system

* 17. When did your institution establish the institutional IQA unit:

- \bigcirc Less than a year
- 🔿 Less than 5 years ago
- O Between 5 and 10 years
- O More than 10 years ago
- Not applicable

* 18. The IQA system of your institution covers the following (select all that apply):
Institution as a whole (Governance and administration; Physical resources; Human resources; Student services; Information systems)
Diploma programs (1-year degrees)
Bachelor programs
Master programs
Postgraduate programs (1-year degrees)
Doctoral programs
Modalities: Distance education including online education (programs delivered in distance mode, including online)
Modalities: e-Learning modes (technologically enhanced learning, e.g., hybrid mode, digital means, simulators, virtual reality)
Recognition of qualifications
Other (please specify)
* 19. What is the setup of your institution's IQA system? The institutional IQA system is operationalized through:
A centralized IQA unit at institutional level
An IQA unit at faculty/school/department level
Other (please specify)

 \ast 20. What is the setup of your institution's IQA system? The IQA functions are coordinated:

At institutional level

 \bigcirc At faculty-school-department level

() At other level (please, specify)

* 21. What type of IQA tools does your institution use? (select all that apply):

Regular program monitoring

Regular program reviews

Faculty/academic staff performance

Student performance (QA of student performance)

Other (please specify)

* 22. What is the highest instance/level to which the institutional IQA unit report to?:

The Governing Board	
O President/Rector of the institution	
○ Vice-chancellor	
O Provost	
O Deans	
Other (please specify)	

* 23. What are the major parts of the policy on IQA and to what extent are they operationalized? (select all that apply):

	Policies and procedures are defined	Policies and procedures are partially applied	Policies and procedures are fully applied	Policies and procedures are regularly revised	Other
The IQA system, its organization and management					
Design, approval, monitoring and review of programs					
Student admission, progression, recognition and certification/diploma award					
Student assessment					
Teaching and learning methodologies					
Research performance/productivity					
Teaching and learning environments					
Student support services					
Management information system (MISs)					
Provision of public information					
Community engagement/service to society					
Other (please specify)					

* 24. Does your institution engage in cross-border education?:

- ◯ Yes
- 🔿 No

Not applicable

* 25. Regarding cross-border education specifically, what are the major parts of the policy on IQA and to what extent are they operationalized? (select all that apply):

The internal quality assurance system,	partially applied	procedures are fully applied	regularly revised	Other
its organization and management				
Design, approval, monitoring and review of programs				
Student admission, progression, recognition and certification/diploma award				
Student assessment				
Faculty teaching methodologies				
Student learning approaches				
Research productivity				
Physical and human resources				
Student support services				
Management information system				
Provision of public information				
Community engagement/services to society				
Other (please specify)				

- \bigcirc Only prioritized recommendations are followed up
- \bigcirc It does not happen annually
- \bigcirc Not applicable
- \bigcirc Other (please specify)

	7. Does your institution have a special budget line for the IQA unit (s-)/functions?:
\bigcirc) Yes
С) No
С) Other (please specify)
* 28	3. Are there enough financial resources to conduct IQA activities effectively?:
С) Yes
C) No
С	Other (please specify)
* 29	9. How often does your institution update its IQA policies?:
С	Annually
С) Every 3-5 years
С) Every 5-7 years
С) As needed
С) Other (please specify)
30.	Select three major revisions you have recently done to your institution's IQA
poli	cy/processes/criteria (if not applicable, please go to the next question):
	cy/processes/criteria (in not applicable, please go to the next question):
] IQA at program level
] IQA at program level
] IQA at program level] Design, approval, monitoring and review of programs
	IQA at program level Design, approval, monitoring and review of programs Student admission, progression, recognition and certification/diploma award
	IQA at program level Design, approval, monitoring and review of programs Student admission, progression, recognition and certification/diploma award Student assessment
	IQA at program level Design, approval, monitoring and review of programs Student admission, progression, recognition and certification/diploma award Student assessment Faculty teaching methodologies
	IQA at program level Design, approval, monitoring and review of programs Student admission, progression, recognition and certification/diploma award Student assessment Faculty teaching methodologies Student learning approaches
	IQA at program level Design, approval, monitoring and review of programs Student admission, progression, recognition and certification/diploma award Student assessment Faculty teaching methodologies Student learning approaches Research productivity
	IQA at program level Design, approval, monitoring and review of programs Student admission, progression, recognition and certification/diploma award Student assessment Faculty teaching methodologies Student learning approaches Research productivity Physical and human resources
	IQA at program levelDesign, approval, monitoring and review of programsStudent admission, progression, recognition and certification/diploma awardStudent assessmentFaculty teaching methodologiesStudent learning approachesResearch productivityPhysical and human resourcesStudent support services
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II Global Study The Survey Instrument Target group: Internal Quality Assurance (IQA) of Tertiary Education Institutions

Section 1: IQA of TEIs: functions, operations, and impact

External quality assurance of TEIs

* 31. Is your institution externally evaluated (e.g., licensed, accredited, audited, authorized, reviewed...) by the following? Please, check all that apply:

National regulator/accreditor

National quality assurance body

International accreditor

Not applicable

Other (please specify)

* 32. Frequency and number of reviews at institutional level. Number of external reviews in total for the last 5 years:

* 33. Frequency and number of reviews at institutional level. When was the last time your institution underwent an external review at institutional level?:

- 🔵 Less than a year (2022)
- \bigcirc Between 1 and 5 years
- \bigcirc Between 6 and 10 years
- More than 10 years ago
- Not applicable

* 34. Select three major changes your institution implemented due to external review at institutional level among the following:

IQA at program level
Design, approval, monitoring and review of programs
Student admission, progression, recognition and certification/diploma award
Student assessment
Faculty teaching methodologies
Student learning approaches
Research productivity
Physical and human resources
Student support services
Management Information System
Provision of public information
Community engagement / services to society
Other (please specify)
* 35. Which areas of your institutional performance were least impacted by the EQA?:
IOA at program laval

IQA at program level
Design, approval, monitoring and review of programs
Student admission, progression, recognition and certification/diploma award
Student assessment
Faculty teaching methodologies
Student learning approaches
Research productivity
Physical and human resources
Student support services
Management Information System
Provision of public information
Community engagement / services to society
Other (please specify)

* 36. Select the challenges linked to institutional review by external QA providers among the following (select all that apply):

Lack of understanding of the specific context

Not fit for purpose

Too time consuming with little cost-benefit ratio

Standards do not fit our profile

Other (please specify)

37. What percentage of your institution's programs are externally reviewed/evaluated (e.g., accredited, audited, authorized), if applicable:

	Less than 25%	Between 25-50%	Between 50% - 80%	More than 80%
By national regulator (e.g., Ministry)	\bigcirc	\bigcirc	\bigcirc	\bigcirc
By national quality assurance body	\bigcirc	\bigcirc	\bigcirc	\bigcirc
By international accreditor	\bigcirc	\bigcirc	\bigcirc	\bigcirc
Self-accredited by your institution	\bigcirc	\bigcirc	\bigcirc	\bigcirc
Other (please specify)				

* 38. If any of your institution's programs are externally accredited/reviewed, who are these bodies? (select all that apply):

National regulator/accreditor

National quality assurance body

Accreditors linked with professional associations at the national level

Accreditors linked with professional associations at regional level (i.e. multi-country)

International accreditors with no link to professional associations

International accreditors linked with professional associations

Not applicable due to self-accreditation powers

Other (please specify)

* 39. Select three major changes your institution's programs underwent due to accreditations at program level (if any) in the last 5 years:

IQA at program level
Design, approval, monitoring and review of programs
Student admission, progression, recognition and certification/diploma award
Student assessment
Faculty teaching methodologies
Student learning approaches
Research productivity
Physical and human resources
Student support services
Management Information System
Provision of public information
Community engagement / services to society
Other (please specify)

* 40. Select from the list below up to three areas that were least impacted by external review/accreditation of your institution's programs in the last 5 years?:

	IQA at program level
	Design, approval, monitoring and review of programs
	Student admission, progression, recognition and certification/diploma award
	Student assessment
	Faculty teaching methodologies
	Student learning approaches
	Research productivity
	Physical and human resources
	Student support services
	Management Information System
	Provision of public information
	Community engagement / services to society
	Other (please specify)
[

* 41. List three major challenges in the last 5 years linked to program review by external QA providers among the following:

Lack of understanding of the specific context

Not fit for purpose

Too time consuming with little cost-benefit ratio

Standards do not fit our profile

Accreditation fatigue

High cost

Other (please specify)

* 42. To what extent do the recommendations from the accreditation reports at program level feed into revision of your departmental/institutional planning?:

O The external review recommendations are treated separately

 (\hfill) The external review recommendations are fully followed up on in the annual plans

 \bigcirc Only prioritized recommendations are followed up

It does not happen annually

○ Not applicable

Other (please specify)



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Section 1: IQA of TEIs: functions, operations, and impact

Stakeholder engagement

* 43. Which internal stakeholders are engaged in the following IQA processes? (select all that apply):

	Governing Board	Top level management	Administrative staff	5	Students	Alumni	Not applicable	Other
Strategic planning								
Development of internal QA policies and procedures								
Implementation of IQA measures at institutional level								
Design of new programs								
Approval of new programs								
Monitoring of programs								
Revision of programs								
QA of research performance								
QA of Student services								
Resources								
Service to society								
Other (please specif	ĵy)							

* 44. The listed external stakeholders are engaged in the following IQA processes:

	Government representatives	Faculty from other TEIs	International experts	Industry representatives	Professional associations	Not applicable	Other
Strategic planning							
Development of internal QA policies and procedures							
Administering the IQA measures at institutional level							
Design of a new program							
Approval of new programs							
Monitoring of programs							
Revision of programs							
QA of research performance							
QA of student services							
Resources							
QA of services to society							
Other (please speci	ify)						

* 45. How do you ensure transparency of your institution's IQA processes?:

	Always	Selectively (some are published some are not)	Not periodically (sometimes are published and sometimes not)	Never	Not applicable	Other
Publication of IQA policies and procedures	\bigcirc	\bigcirc	\bigcirc	\bigcirc	\bigcirc	\bigcirc
Publication of internal and external self- evaluation reports in full	\bigcirc	\bigcirc	\bigcirc	\bigcirc	\bigcirc	\bigcirc
Publication of only major outcomes of internal/external self-evaluation	\bigcirc	\bigcirc	\bigcirc	\bigcirc	\bigcirc	\bigcirc

* 46. What is the extent of exposure your IQA reports have? Select all that apply:
Distributed internally within the department
Distributed internally within the overall institution
Distributed to the general public
* 47. If you publish any of the above, which are the channels for distribution? Check all that apply:
Institutional web-site
Institutional newsletter
Annual reports publications
Other (please specify)
Not applicable
* 48. Please list the key benefits of internal stakeholder engagement:
* 49. Please list the key benefits of external stakeholder engagement:
* 50. Please list major challenges linked to internal stakeholder engagement:
* 51. Please list major challenges linked to external stakeholder engagement:



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Section 2: Relevance of the IQA system

* 52. How do you define quality within your institution? (select all that apply)
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Fitness of purpose

Fitness for purpose

- Value for money
- Transformation
- Excellence
- None of the above
- My institution defines quality its own way
- My institution has no explicit definition of quality
- * 53. Is the definition of quality defined in a policy?:
 - O Yes
 - () No
 - Not applicable
 - Other (please specify)

* 54. My institution has the same measurement methodology to evaluate each of the below areas (select all that apply):

Undergraduate programs
Master level programs
Doctoral level programs
Formal short learning programmes (e.g., micro credentials)
Non-formal short learning programmes (e.g., micro-credentials)
Online/blended education
Cross-border provisions
Scientific research
Systems that promote recognition of qualifications that are locally granted
Systems that promote recognition of qualifications that are internationally granted
Other (please specify)

* 55. My institution has a purpose-built measurement methodology to evaluate each of the below areas (select all that apply):

Undergraduate programs
 Master level programs
 Doctoral level programs
 Formal short learning programmes (e.g., micro credentials)
 Non-formal short learning programmes (e.g., micro-credentials)
 Online/blended education
 Cross-border provisions
 Systems that promote recognition of qualifications
 Other (please specify)

* 56. What are the policies and practices your institution deploys to measure relevance of								
your programs? (select all that apply):								
Equity of access to programs, completion and success rate								
Support to the students with fewer opportunities (e.g., marginalized groups, under-represented groups, students with disabilities)								
Support to student mobility for all								
Response to the learners' needs for knowledge acquisition and qualification (e.g., in lifelong learning)								
Employability of the alumni (e.g., employment rate)								
Consistency between graduated students' occupation and programs (e.g., in terms of income, professional position, responsibility)								
Employers' satisfaction vis-à-vis learning outcomes								
Alumni satisfaction vis-à-vis learning outcomes								
Employers' satisfaction vis-à-vis transversal skills								
Alumni satisfaction vis-à-vis transversal skills								
Alignment with national/regional strategies (e.g., national strategy for economic inclusion of the Youth)								
Effectiveness of learning pathways (e.g, recognition of prior learning)								
Other (please specify)								

* 57. What are the polices and practices your institution deploys to measure relevance of research functions of your institution? (select all that apply):

Support to research activities for faculty and master students (opportunities to access to, and conduct research)
Support to initiate, lead or join research projects
Sensitization to research for teachers and students less exposed to research (e.g., in some vocational programs)
Support to scientific publication
Teaching-research nexus Inclusion of research into program
Commercialization of research
Dissemination of research
Impact of research
Internationalization of research (e.g, invited international scholars)
Award and value of research (e.g. prize for excellence)
Other (please specify)

* 58. What are the policies and practices your institution deploys to measure relevance your institution's services to society? (select all that apply):	e of
Specific educational provisions such as entrepreneurial education, citizenship education	
Specific education provisions or research or supply of services to address local needs for developmen (e.g., sharing research labs with SMEs)	nt
Engagement with the communities to examine, identify and solve development issues	
Effective contribution to the local, national or global socioeconomic development	
Enforcement of the triple/quadruple helix model (higher education-government-enterprises)	
Development of institution's specific structures or services (e.g., knowledge transfer units, academic spin-offs, patenting)	;
Scientific and cultural dissemination (e.g. events, open doors, cooperation with schools)	
Participation to influential circles (e.g., think tanks)	
Other (please specify)	

* 59. List three major transformations that took place in your institution due to your IQA approaches:

* 60. Name three things you would change to make your institution's internal QA system more relevant to the context within which your institution operates:

* 61. Name three things you would change to make your institution's external QA system more relevant to the context within which your institution operates:



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Section	3:	Impact of	external	factors
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* 62. Select three major important external factors that influenced your institution's operations through the last 5 years:

Geopolitical

Social

Health and safety

Economic

Technological (e.g., AI, ChatGPT, Cloud, Moocs)

Environmental

Other (please specify)

* 63. How did your institution respond to the external factors you specified?:

* 64. How did your institution's IQA support operations of your institution amid the challenging times (e.g., geopolitical tensions, pandemic)?:

* 65. What are the lessons learnt?: